 IOSCO Objectives and Principles of Securities Regulation and the IOSCO Assessment Methodology

The International Organization of Securities Commissions (IOSCO)

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The IOSCO Objectives and Principles of Securities Regulation sets out 38 Principles of securities regulation, which are based upon three Objectives of securities regulation. These are:

- protecting investors;
- ensuring that markets are fair, efficient and transparent;
- reducing systemic risk.

The IOSCO Assessment Methodology is designed to provide IOSCO's interpretation of its Objectives and Principles of Securities Regulation ("Principles") and give guidance on the conduct of a self-assessment or third-party assessment of the level of Principles implementation.